BUXTON HELMSLEY

Firm Overview

As of November 2026

Buxton Helmsley is an alternative asset manager specializing in forensic analysis, shareholder engagement, and corporate governance reform at public companies in the United States and abroad. Through a U.S. subsidiary that is not part of its asset management business, the firm also offers advisory services to boards, management teams, private equity firms, lenders, and litigation counsel.

ACTIVE FUND

Target portfolio	8–15 positions
Holding period	12–24 months per position

STRUCTURE

Buxton Helmsley, Inc.	Holding company
Buxton Helmsley USA, Inc.	Exempt reporting adviser
Buxton Helmsley Fund Management, LLC	Fund manager
Buxton Helmsley Fund GP, LLC	General partner

BOARD OF DIRECTORS

Alexander E. Parker	Chairman of the Board
Charles Garcia	Lead Independent Director
Rumbi B. Petrozzello, CPA CFF CFE	Board Member

EXECUTIVE MANAGEMENT

Alexander E. Parker	Chairman of the Board and Chief Executive Officer
Weiyee In	Chief Information and Operating Officer, Deputy Portfolio Manager, and Head of Capital Markets Research

SERVICE PROVIDERS

CFGI	Strategic Accounting Partner
UHY LLP	Independent Auditor
IQ EQ Fund Services LLC	Fund Administrator
Velocity Clearing, LLC	Prime Broker
Clear Street, LLC	Prime Broker
Quinn Emanuel Urquhart & Sullivan, LLP	Litigation Counsel
McDermott Will & Schulte LLP	Activism Counsel
Falcon Rappaport & Berkman LLP	General Corporate & Litigation Counsel

CONTACT

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IMPORTANT INFORMATION

Not investment advice

This material is provided for informational purposes only. It is not investment, legal, accounting, or tax advice, and it is not a recommendation to buy or sell any security. Readers should conduct their own analysis and consult their own advisers.

Sources and forward-looking statements

Statements of fact are drawn from public filings, public statements, and other sources believed to be reliable, but their accuracy is not guaranteed. Statements that are not historical are forward-looking and reflect judgments as of the date of publication; actual outcomes may differ, and Buxton Helmsley undertakes no obligation to update them.

Not an offer

Nothing here is an offer to sell or a solicitation of an offer to buy any security, nor an offer of investment advisory services, in any jurisdiction where such an offer would be unlawful.